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TRANSMITTAL FORM

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4

Application Number

10/693043

Filing Date

10-24-2004

First Named Inventor

Li

Art Unit

3751

Examiner Name

Attorney Docket Number

14698.005US

ENCLOSURES (check all that apply)

- Fee Transmittal Form
- Fee Attached
- Amendment / Reply
- After Final
- Affidavits/declaration(s)
- Extension of Time Request
- Express Abandonment Request
- Information Disclosure Statement
- Certified Copy of Priority Document(s)
- Response to Missing Parts/ Incomplete Application
- Response to Missing Parts under 37 CFR 1.52 or 1.53

- Drawing(s)
- Licensing-related Papers
- Petition
- Petition to Convert a Provisional Application
- Power of Attorney, Revocation Change of Correspondence Address
- Terminal Disclaimer
- Request for Refund
- CD, Number of CD(s) _____

- After Allowance communication to Technology Center (TC)
- Appeal Communication to Board of Appeals and Interferences
- Appeal Communication to TC (Appeal Notice, Brief, Reply)
- Proprietary Information
- Status Letter
- Other Enclosure(s) (please identify below):

Cited References (9)

Remarks

This Information Disclosure Statement is being submitted prior to the issuance of a first office action and no fee is due

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Laurence P. Colton
TECHNOPROP COLTON LLC

Signature

Date

27 July 2004

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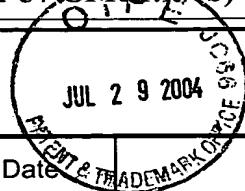
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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14698.005US

In Re Application Of: LI



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/693043	10-24-2003		022870	3751	1547

Title: WATERFALL HANDLE

Address to:
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37 CFR 1.97(b)

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

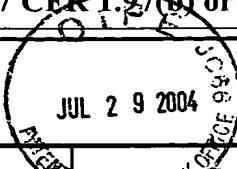
OR

the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
14698.005US

In Re Application: LI



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/693043	10-24-2003		022870	3751	1547

WATERFALL HANDLE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

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Signature

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Substitute for form 1449A/PTO		JUL 29 2004		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	10/693043
Filing Date	10-24-2003			First Named Inventor	LI
Art Unit	3751			Examiner Name	
Sheet	1	of	2	Attorney Docket Number	14698.005US

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature _____ Date Considered _____

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JUL 29 2004

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2

of

2

Complete if Known

Application Number	10/693043
Filing Date	10-24-2003
First Named Inventor	LI
Group Art Unit	3751
Examiner Name	
Attorney Docket Number	14698.005US

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner
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